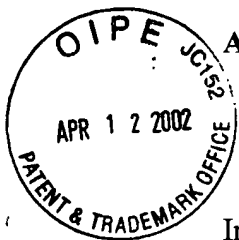


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Attorney Docket No. GRCN001/01US

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of Brouk, et al.

Serial No.: 09/820,966

Examiner: To be assigned

Confirmation No.: 3909

Art Unit: 2151

Filed: March 30, 2001

For: **SYSTEM AND METHOD FOR ROUTING MESSAGES BETWEEN APPLICATIONS**

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Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT TRANSMITTAL**

Enclosed is an Information Disclosure Statement and accompanying Form PTO/SB/08A for the above-identified patent application.

- ☒ In accordance with 37 C.F.R. §1.97(b), no additional fee for submission of the IDS is required.
- ☒ A return receipt postcard is also enclosed.


The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 50-1283.

Dated: **April 12, 2002**

Cooley Godward LLP  
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Respectfully submitted,  
**COOLEY GODWARD LLP**

By:

  
**Duane S. Kobayashi**  
Reg. No. 41,122

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of Brouk, et al.

Serial No.: 09/820,966

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**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.97(b)**

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56,  
Applicant(s) hereby submits the following information in conformance with 37 C.F.R.  
§§1.97 and 1.98.

- ☒ Pursuant to 37 C.F.R. §1.98, a copy of each document cited in the attached Form PTO/SB/08 is enclosed.
- ☐ No copies of the publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. \_\_\_ to which the above-identified application claims priority under 35 U.S.C. §120.
- ☐ Publication(s) \_\_\_ listed on the attached Form PTO/SB/08A were cited in a foreign search or examination report corresponding to \_\_\_ application serial no. \_\_\_ and mailed on \_\_\_.
- ☐ Enclosed is a copy of a non-English publication(s) \_\_\_. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).
- ☐ Enclosed is a copy of a non-English publication(s) \_\_\_. English language publication \_\_\_ (copy enclosed) claims priority from this non-English publication.
- ☐ Enclosed is an explanation of non-English publication(s) \_\_\_ for which an English translation is not available.

- ☐ Enclosed is an English translation of non-English publication(s) \_\_\_ cited in the attached Form PTO/SB/08A.
- ☒ Enclosed is a copy of pending patent Application Serial Nos. 09/820,964 and 09/820,965.

This Information Disclosure Statement is filed within any one of the following time periods:

- ☐ within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);
- ☐ within three months from the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in this international application;
- ☒ before the mailing date of a first office action on the merits; or
- ☐ before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.


It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

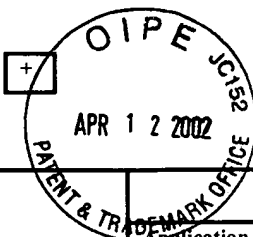
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Respectfully submitted,  
**COOLEY GODWARD LLP**

By:

  
**Duane S. Kobayashi**  
**Reg. No. 41,122**



Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<i>Complete if Known</i>	
		Application Number	09/820,966
		Filing Date	March 30, 2001
		First Named Inventor	Brouk, et al.
		Group Art Unit	2151
		Examiner Name	Unassigned
Sheet 1 of 1	Attorney Docket Number	GRCN001/01US	

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U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code <sup>2</sup> (if known)		
	A1	5,850,518		Northrup	12/15/1998

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
	B1						

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	C1	GREEF, Arthur, "Partner Interface Process Technical Architecture", (1998), RosettaNet/PIP Technical Architecture.doc, Pp. 1-12.	
	C2	STROSS, Kenner, "Managed B2B Infrastructure Technical Architecture", (July 31, 2000), Transact Technical Architecture, Pp. 1-14.	
	C3	"A Global Infrastructure for the Guaranteed Delivery of B2B Transactions over the Internet" (2000), Slam Dunk Networks, Pp. 1-18.	
	C4	ipo.com – Venture, Internet Document, URL: <a href="http://www.ipo.com/venture/pcprofile.asp?p=IPO&amp;pc=20323">http://www.ipo.com/venture/pcprofile.asp?p=IPO&amp;pc=20323</a> , P. 1	
	C5	CrossWeave Company, Internet Document, (2001), URL: <a href="http://www.crossweave.com/company_overview.html">http://www.crossweave.com/company_overview.html</a> , P. 1	
	C6	GlueCode/Our Mission and Vision, Internet Document, URL: <a href="http://www.gluecode.com/company/mission_vision.html">http://www.gluecode.com/company/mission_vision.html</a> , P. 1	
	C7	Viquity / Press Release, "Viquity Demonstrates Power of Hub Technology In ebXML Proof-of-Concept", (December 12, 2000), Pp. 1-2	
	C8	FESTA, Paul, "Start-up gains Netscape funding, Microsoft engineers," NET News.com, (September 10, 2000), Pp. 1-2	

Examiner Signature	Date Considered
--------------------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number.

<sup>2</sup> See attached Kinds of U.S. Patent Documents.

<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

<sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.